

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L28920MH1957PLC010839

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACS0764L

(ii) (a) Name of the company

SIEMENS LIMITED

(b) Registered office address

Birla Aurora, Level 21, Plot No. 1080
Dr. Annie Besant Road, Worli
Mumbai
Mumbai City
Maharashtra
400020



(c) *e-mail ID of the company

ketan.thaker@siemens.com

(d) *Telephone number with STD code

02262517000

(e) Website

www.siemens.co.in

(iii) Date of Incorporation

02/03/1957

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital



Yes



No

(vi) *Whether shares listed on recognized Stock Exchange(s)



Yes



No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U74999MH2018PTC307859

Pre-fill

Name of the Registrar and Transfer Agent

TSR DARASHAW CONSULTANTS PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

6, Moosa Haji Patrawala Industrial Estate
20, Dr. E. Moses Road, Mahalaxmi

(vii) *Financial year From date 01/10/2019 (DD/MM/YYYY) To date 30/09/2020 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☐ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

31/03/2021

(c) Whether any extension for AGM granted

☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1



S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C10	Electrical equipment, General Purpose and Special purpose Machinery & equipment,	80.9

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

2

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	Siemens Aktiengesellschaft (47 )		Holding	51
2	SIEMENS RAIL AUTOMATION P 	U31200MH2003PTC259831	Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	1,000,000,000	356,983,950	356,120,255	356,120,255
Total amount of equity shares (in Rupees)	2,000,000,000	713,967,900	712,240,510	712,240,510

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	1,000,000,000	356,983,950	356,120,255	356,120,255
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	2,000,000,000	713,967,900	712,240,510	712,240,510

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				

At the beginning of the year	356,120,255	712,240,510	712,240,510	
Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify <div></div>				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify <div></div>				
At the end of the year	356,120,255	712,240,510	712,240,510	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify <div></div>				
Decrease during the year	0	0	0	0

i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes ☒ No ☐ Not Applicable

Separate sheet attached for details of transfers

☒ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		11/02/2020	
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0		0
Partly convertible debentures	0		0
Fully convertible debentures	0		0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover**

102,408,085,200

(ii) Net worth of the Company

94,022,684,790

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	267,089,913	75	0	
10.	Others	0	0	0	
	Total	267,089,913	75	0	0

Total number of shareholders (promoters)

4

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	30,575,400	8.59	0	
	(ii) Non-resident Indian (NRI)	1,826,353	0.51	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	150	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	30,500,089	8.56	0	
4.	Banks	89,191	0.03	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	14,485,016	4.07	0	
7.	Mutual funds	6,599,177	1.85	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	4,057,712	1.14	0	
10.	Others Trust, Directors	897,254	0.25	0	
	Total	89,030,342	25	0	0

Total number of shareholders (other than promoters)

134,000

**Total number of shareholders (Promoters+Public/
Other than promoters)**

134,004

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

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Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
VANGUARD TOTAL IN +	JPMORGAN CHASE BANK N.A. IND +			1,420,298	0.4
VANGUARD EMERGIN +	JPMORGAN CHASE BANK N.A. IND +			1,367,521	0.38
PGGM WORLD EQUITY +	CITIBANK N.A. CUSTODY SERVICES +			499,950	0.14
BNP PARIBAS ARBITRA +	BNP PARIBAS, CUSTODY OPERATIO +			498,372	0.14
GOVERNMENT PENSIC +	CITIBANK N.A. CUSTODY SERVICES +			403,551	0.11
KUWAIT INVESTMENT +	CITIBANK N.A. CUSTODY SERVICES +			334,411	0.09
KUWAIT INVESTMENT +	CITIBANK N.A. CUSTODY SERVICES +			277,814	0.08
CALIFORNIA PUBLIC E +	DEUTSCHE BANK AG DB HOUSE, H +			231,710	0.07

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
EMERGING MARKETS (CITIBANK N.A. CUSTODY SERVICES				215,658	0.06
LEGAL AND GENERAL (CITIBANK N.A. CUSTODY SERVICES				211,402	0.06
SPDR S AND P EMERG (DEUTSCHE BANK AG DB HOUSE, H				170,469	0.05
VANGUARD FTSE ALL (DEUTSCHE BANK AG DB HOUSE, H				161,718	0.05
RAMS EQUITIES PORTF (STANDARD CHARTERED BANK Sec				160,000	0.04
MANULIFE GLOBAL FU (CITIBANK N.A. CUSTODY SERVICES				115,328	0.03
SOCIETE GENERALE (SBI SG GLOBAL SECURITIES SERVIC				105,345	0.03
SLG INTERNATIONAL (DEUTSCHE BANK AG, DB HOUSE H				102,850	0.03
SCHWAB EMERGING M (DEUTSCHE BANK AG DB HOUSE, H				101,011	0.03
WISDOMTREE INDIA I (CITIBANK N.A. CUSTODY SERVICES				97,204	0.03
PGGM WORLD EQUITY (CITIBANK N.A. CUSTODY SERVICES				96,075	0.03
MORGAN STANLEY AS (CITIBANK N.A. CUSTODY SERVICES				94,260	0.03
MANAGED PENSION F (DEUTSCHE BANK AG DB HOUSE, H				89,509	0.03
ROBECO CAPITAL GRO (JPMORGAN CHASE BANK N.A. IND				86,907	0.02
PARAMETRIC TAX-MA (CITIBANK N.A. CUSTODY SERVICES				72,300	0.02
THE EMERGING MARK (CITIBANK N.A. CUSTODY SERVICES				70,252	0.02
IIFL FUND - IIFL INDIA (STANDARD CHARTERED BANK Sec				69,000	0.02

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	2	4
Members (other than promoters)	118,648	134,000
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	0	0	0	0
B. Non-Promoter	2	9	2	8	0	0
(i) Non-Independent	2	3	2	4	0	0
(ii) Independent	0	6	0	4	0	0
C. Nominee Directors representing	0	1	0	1	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	1	0	1	0	0
Total	2	10	2	9	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

13

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Deepak Shantilal Parekh	00009078	Director	9,000	
Yedzi Hirji Malegam	00092017	Director	8,000	
Mehernosh Behram Kapadia	00046612	Director		
Anjali Bansal	00207746	Director		
Josef Kaeser	00867264	Director		
Cedrik Francis Wolfgang	07810035	Nominee director		
Johannes Apitzsch	05259354	Director		
Mariel von Schumann	06625674	Director		

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Tim Oliver Holt	08742663	Additional director		
Sunil Dass Mathur	02261944	Managing Director		
Daniel Gerold Spindler	08533833	Whole-time director		
Daniel Gerold Spindler	MCMP50596R	CFO		
Ketan Nandkishor Thakur	ACVPT7223M	Company Secretary		

(ii) Particulars of change in director(s) and Key managerial personnel during the year

7

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Darius Cavasji Shroff	00170680	Director	30/01/2020	Cessation
Keki Bomi Dadiseth	00052165	Director	30/01/2020	Cessation
Deepak Shantilal Parekh	00009078	Director	30/01/2020	Appointment
Yedzi Hirji Malegam	00092017	Director	30/01/2020	Appointment
Daniel Gerold Spindler	08533833	Whole-time director	11/02/2020	Change in designation
Anjali Bansal	00207746	Director	11/02/2020	Change in designation
Tim Oliver Holt	08742663	Additional director	01/06/2020	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	11/02/2020	117,095	206	76.28

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	19/11/2019	12	10	83.33
2	24/01/2020	12	11	91.67
3	11/02/2020	10	10	100
4	13/05/2020	10	10	100
5	07/08/2020	11	11	100
6	26/08/2020	6	6	100

C. COMMITTEE MEETINGS

Number of meetings held

13

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	19/11/2019	5	5	100
2	Audit Committee	24/01/2020	5	5	100
3	Audit Committee	11/02/2020	5	5	100
4	Audit Committee	13/05/2020	5	5	100
5	Audit Committee	07/08/2020	5	5	100
6	Audit Committee	26/08/2020	4	4	100
7	Corporate Social Responsibility	19/11/2019	4	4	100
8	Corporate Social Responsibility	07/08/2020	4	4	100
9	Stakeholders Forum	24/10/2019	3	3	100
10	Stakeholders Forum	29/09/2019	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
								(Y/N/NA)

1	Deepak Shant	6	6	100	11	11	100	
2	Yedzi Hirji Mal	6	6	100	9	9	100	
3	Mehernosh Be	6	6	100	8	8	100	
4	Anjali Bansal	6	5	83.33	4	4	100	
5	Josef Kaeser	5	4	80	2	1	50	
6	Cedrik Francis	5	5	100	1	1	100	
7	Johannes Apit	5	5	100	6	6	100	
8	Mariel von Sch	5	4	80	3	3	100	
9	Tim Oliver Hol	1	1	100	0	0	0	
10	Sunil Dass Ma	6	6	100	4	4	100	
11	Daniel Gerold	6	6	100	2	2	100	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Sunil Dass Mathur	Managing Director	161,104,306	0	29,962,093	2,553,098	193,619,497
2	Daniel Gerold Spinc	Whole-time Director	52,127,817	0	1,782,554	0	53,910,371
	Total		213,232,123	0	31,744,647	2,553,098	247,529,868

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Ketan Nandkishor T	Company Secretary	6,664,942	0	0	289,332	6,954,274
	Total		6,664,942	0	0	289,332	6,954,274

Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Deepak Shantilal Pa	Director	0	3,630,000	0	1,100,000	4,730,000
2	Yedzi Hirji Malegam	Director	0	2,830,000	0	1,000,000	3,830,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
3	Mehernosh Behram	Director	0	1,850,000	0	950,000	2,800,000
4	Anjali Bansal	Director	0	1,850,000	0	500,000	2,350,000
5	Mariel von Schumar	Director	0	470,000	0	100,000	570,000
6	Darius Cavasji Shro	Director	0	620,000	0	250,000	870,000
7	Keki Bomi Dadiseth	Director	0	620,000	0	250,000	870,000
	Total		0	11,870,000	0	4,150,000	16,020,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

- * A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☐ Yes ☒ No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

P. N. Parikh

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

1228

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

02261944

To be digitally signed by

☒ Company Secretary

☐ Company secretary in practice

Membership number

16250

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach**Attach****Attach****Attach****List of attachments****Remove attachment**

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company