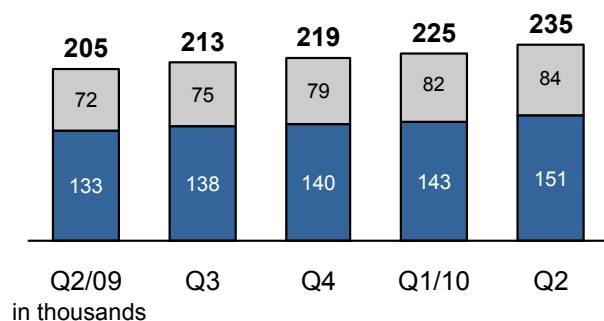


Compliance – Progress Report Q2 FY 2010

Prevent

Training¹⁾

- Participation in person training
- Participation web-based training

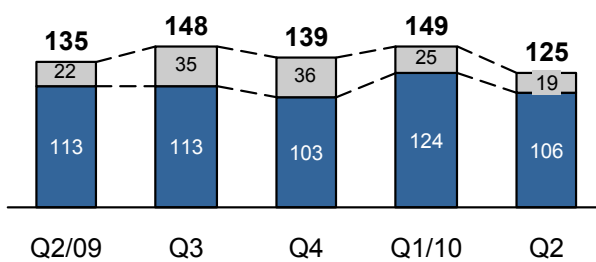


Detect

Helpdesk

"Tell Us" & Ombudsman

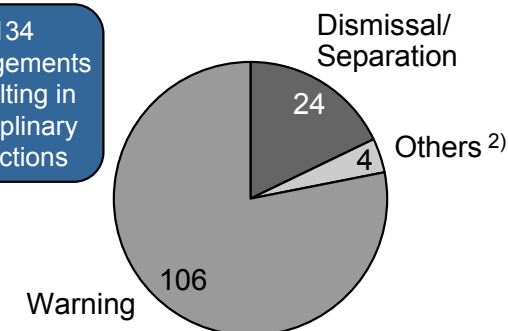
- Not substantiated
- Substantiated



Respond

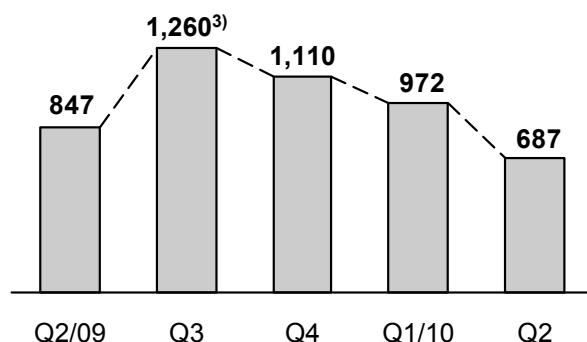
Disciplinary Sanctions in Q2

134 infringements resulting in disciplinary sanctions



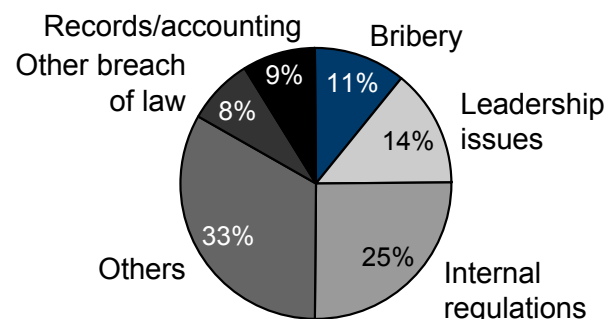
Helpdesk

"Ask Us"

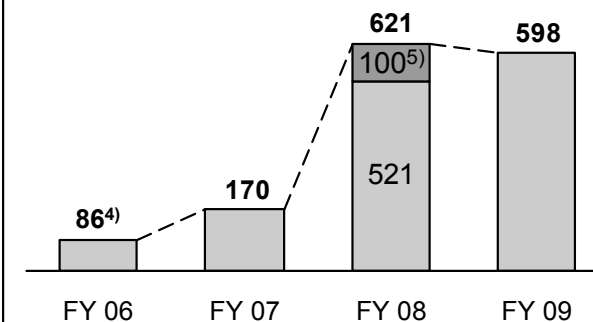


Helpdesk

"Tell Us" Topics Q2 FY 10



Compliance Staff Worldwide



1) Cumulative 2) Forfeiture of variable payment elements, transfer to another position, suspension 3) Rollout of the enhanced Compliance Control Framework
4) Compliance only one area of responsibility 5) Including Implementation Management