

SIEMENS

Siemens Integrity Initiative

Creating fair market conditions

Fighting corruption and fraud through collective action, education & training

Frequently Asked Questions (FAQs) – Funding round December 9, 2009

Status: January 26, 2010

NEW Questions and Answers:

Q: How strictly do we have to adhere to the limit of characters/letters that are stated in the Expression of Interest template?

A: We encourage applicants to be brief and precise with the information provided in the EOI template. If the limits stated in the template are slightly exceeded, we will not exclude the EOI from further review.

Q: I encountered difficulties in filling out the EOI template, for example, I cannot click on the yes/no buttons?

A: The word-document uses macros for ticking of the boxes. When you open the document you should receive a security warning and a question whether you want to activate macros or not; you need to activate the macros otherwise some parts of the documents cannot be filled in.

Q: In Annex 1, section 3: some of the buttons cannot be clicked without cancelling out other answers. What shall I do?

A: Some EOI templates encountered technical difficulties. We have uploaded a new EOI template. You could either use this new template, or provide answers manually and hand in a scanned version of Annex 1. We apologize for the inconvenience.

Q: My project includes collective action as well as education & training aspects however the template does not allow to choose both categories?

A: If education & training is part of a collective action effort, please choose collective action to reflect this. If you are submitting two distinct projects, you might want to fill out two EOI templates.

OLD Questions and Answers:

Q: Who is eligible to apply for funding under the Siemens Integrity Initiative?

A: The Siemens Integrity Initiative is open to applications from all non-profit organizations, such as the following: non-governmental organizations (NGOs), international, in particular inter-governmental organizations, business associations, and academic institutions.

Q: Can a government apply for funding?

A: Governments are not eligible to apply for funding from the Siemens Integrity Initiative.

Q: My initiative is not registered as a legal entity, can I apply for funding?

A: No. All applications must be in the name of a legal entity, private or public, that is able and willing to sign the Funding Agreement upon award of funds.

Q: I have never worked in the area of anti-corruption before, can I apply for funding?

A: No. Applicants will be asked to demonstrate experience in the field of anti-corruption and fraud and to confirm their capacity to implement projects of similar size; start-up organizations are eligible for funding if the individuals acting on behalf of such organizations can demonstrate that they have the relevant experience.

Q: Can several organizations submit a joint proposal?

A: Applicants may hand in joint applications with other organizations, provided one organization assumes a leading position, signs the overall funding agreement and in case of an award responsibly administers the distribution of funds between those participating in this joint application/project.

Q: Which type of projects will be funded?

A: The Siemens Integrity Initiative will fund projects that fight corruption and fraud through collective action, education and training. For further details, please visit the web page 'Eligibility and Selection Criteria'.

Q: Can an organization that was awarded funding distribute such funding to other organizations?

A: The Siemens Integrity Initiative does not support indirect funding. Organizations that are interested in applying for funding must apply directly or can hand in a joint proposal with another organization. All sub-contractors must be named in the full proposal.

Q: What is the maximum amount of funding per project or organization?

A: The maximum funding per project proposal will be USD 5 million. Applications from projects for amounts below this maximum are welcome where funding is above a minimum of USD 50,000. Applicants may submit several projects; Siemens may however introduce a funding limit per organization in the course of the selection process.

Q: Will Siemens accept proposals where it is asked to fund only part of the project budget?

A: Siemens welcomes joint or multi-lateral proposals i.e. where two or more funders or organizations contribute to the success of the project in question. The project proposal must clearly outline the proposed project structure and state the percentages of funding per organization.

Q: How do I submit my application?

A: All applications must be submitted via e-mail to the following e-mail address: application.integrityinitiative@siemens.com at the latest by January 31, 2010. Applications must include a filled out and signed Expression of Interest (EOI) template and must be accompanied by the supporting documents as outlined in Annex 1 of the template.

Q: Do I have to fill out Annex 1 of the Expression of Interest template for all organizations that participate in the project?

A: Annex 1 must be filled out and signed for each organization participating in the application.

Q: Do I have to provide the supporting documentation that is listed in Annex 1 of the Expression of Interest template for all organizations participating in the project?

A: The documents listed in Annex 1 must be provided for each organization participating in the application.

Q: If I don't receive any response, does this mean that I have not passed the review and due diligence?

A: Siemens will confirm receipt of the Expression of Interest form by e-mail once complete and applicants will be informed in due course by Siemens and by e-mail on the outcome of the Initial Review, and whether they will be invited to the Full Proposal stage of the selection process. If you have not heard anything, your application might not have been received or, if it has been received, the selection process might not have finished.

Q: When does the World Bank exercise its veto right?

A: The World Bank retains a discretionary right to veto Siemens' approval of any of the organizations that apply for the funding under the terms of the Settlement Agreement. This discretionary veto right is not subject to appeal from either Siemens or the relevant organization. Siemens will inform the organization if the Bank exercises its veto right.

Q: Which documents do I have to submit for the Full Proposal?

A: All organizations invited for the Full Proposal stage will receive a template for the submission of the Full Proposal which will provide further information on documents that have to be submitted jointly with the Full Proposal. The Full Proposal should include, among other information, further details on the output of the project, and should specify concrete milestones and detailed annual budgets, which will form part of the Funding Agreement if funds are awarded.

Q: Will the results of this funding round be publicized?

A: Once organizations that have been selected for funding have accepted and signed the Funding Agreement, a list of projects and organizations that have been awarded funds from the Siemens Integrity Initiative will be publicized on the website.

www.siemens.com/integrity-initiative.

Q: When will the next funding round take place?

A: Additional funding rounds will be announced separately. The Siemens Integrity Initiative will release its funds over 15 years.

Q: Can an organization that has not been successful in the first funding round apply for funding in the next funding rounds?

A: Unless the World Bank has exercised its veto right or the due diligence check on the organization has resulted in findings that are incompatible with the Siemens Business Conduct Guidelines, organizations are eligible to apply in following funding rounds.

Q: Can an organization that has been awarded funding apply again for funding in the next funding rounds?

A: Successful participation in any funding round and the award of funds to any applicant does not entitle or qualify such applicant to be automatically awarded further funds in any subsequent funding round. Organizations have to apply for each funding round separately.

Q: Can organizations apply for additional funding from other donor organizations after they have been awarded funding from Siemens?

A: In general, Siemens welcomes funding from additional donors as long as this is clearly communicated and agreed upon before such funding is accepted.

Contact for questions:

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