

Ketan Thaker Department LC AA RC-IN CSEC

National Stock Exchange of India Ltd. BSE Ltd.

Fax E-mail

Name

Date

+91 (022) 39672418 +91 (022) 39677562 ketan.thaker@siemens.com 13th October, 2017

## Scrip Code-

National Stock Exchange of India Ltd.: SIEMENS EQ

BSE Ltd.: 500550

Statement showing holding of securities and shareholding pattern pursuant to Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sirs,

Pursuant to Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the statement showing holding of securities and shareholding pattern for the quarter ended 30<sup>th</sup> September, 2017.

Kindly take the above information on record and acknowledge the receipt.

Yours faithfully, For Siemens Limited

**Ketan Thaker** Company Secretary



Siemens Ltd. CIN: L28920MH1957PLC010839

Birla Aurora, Level 21, Plot No. 1080, Tel.: +91 (22) 2498 7000 Dr. Annie Besant Road, Worli, Mumbai - 400030

Website: www.siemens.co.in E-mail- Corporate-Secretariat.in@siemens.com

Registered Office: Birla Aurora, Level 21, Plot No. 1080, Dr. Annie Besant Road, Worli, Mumbai – 400030. Telephone +91 22 3967 7000. Fax +91 22 3967 7500. Sales Offices: Ahmedabad, Bengaluru, Bhopal, Bhubaneswar, Chandigarh, Chennai, Coimbatore, Gurgaon, Hyderabad, Jaipur, Jamshedpur, Kharghar, Kolkata, Lucknow, Kochi, Mumbai, Nagpur, Navi Mumbai, New Delhi, Puducherry, Pune, Vadodara, Visakhapatnam.

## Annexure - I

## Format of holding of specified securities

1 Name of Listed Entity: SIEMENS LIMITED

2 Scrip Code/Name of Scrip/Class of Security: BSE.: 500550 / NSE.: SIEMENS EQ

Equity

3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) 31(1)(b)

a. If under 31(1)(b) then indicate the report for Quarter ending: 30<sup>th</sup> September, 2017

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares?	-	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4	Whether the Listed Entity has any shares in locked-in?	-	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	-	No

5 The tabular format for disclosure of holding of specified securities is as follows:-

## Table I - Summary Statement holding of specified securities

Cate-	Category of shareholder	Nos. of share-	No. of fully paid up	No. of Partly	No. of shares	Total nos. shares held	Shareholding as	Number of Voting F	Rights h	neld in each class of securiti	es	No. of Shares	Shareholding, as a	Number	of Locked	Number of Shares	Number of equity
gory		holders		paid-up equity shares held	underlying Depository Receipts		a % of total no. of shares (calculated as per SCRR, 1957)					Underlying Outstanding convertible securities (including Warrants)	% assuming full conversion of convertible securities ( as a percentage of diluted share capital)	l .	nares	pledged or otherwise encumbered	shares held in dematerialized form
(1)	(11)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	No of V		(IX) Rights	Total as a % of (A+B+C)	(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	No.	As a % of total Shares held	(XIII)  No. As a % o total Shares held	(XIV)
								Class eg: X	Class eg:y	Total				(a)	(b)	(a) (b)	
(A)	Promoter & Promoter Group	2	267,089,913	-	-	267,089,913	75.00	267,089,913	-	267,089,913	75.00	-	75.00	-	-		267,089,913
(B)	Public	115,292	89,030,342	-	-	89,030,342	25.00	89,030,342	-	89,030,342	25.00	-	25.00	-	-	NA	85,477,687
(C)	Non Promoter- Non Public	-	-	-	-	-	0.00	-	-	-	-	-	-	-	-	NA	-
(C1)	Shares underlying DRs	-	-	-	-	-	0.00	-	-	-	-	-	-	-	-	NA	-
(C2)	Shares held by Employee Trusts	-	-	-	-	-	0.00	-	-	-	-	-	-	-	-	NA	-
	Total	115,294	356,120,255	-	-	356,120,255	100.00	356,120,255	-	356,120,255	100.00	-	100.00	-	-		352,567,600

Category & Name of the Shareholders	PAN	No. of shareholder	I service in the service is a service in the servic		Shareholding % calculated as per SCRR, 1957	Number of V	oting Rights hel	ld in each class of securities		No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of L	ocked in shares	Number of Sha otherwise e	ares pledged or encumbered	Number of equity shares held in dematerialized form		
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of		(1)	K)		(X)	(XI) = (VII)+(X) as a % of		(XII)	(XIII)		(XIV)
					(1)	(··· ·······	(A+B+C2) (VIII)	No of Voting Rights			Total as a % of Total		A+B+C2	No.	As a % of total Shares held	Ì	As a % of total shares held	
								Class X	Class Y	Total	Voting rights			(a)	(b)	(a)	(b)	
(1) Indian																		
Individuals/Hindu undivided (a) Family		_	_	_	-	_	-	_	_	_	_	-	-	_	-	_	_	-
Central Government/ State																		
(b) Government(s)		-	-	-	-		-	-	-	-	-	-	-	-	-	-	-	-
(c) Financial Institutions/ Banks		-	-	-	-		-	-	-	-	-	-	-	-	-	-	-	-
(d) Any Other (specify)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i) Bodies Corporate		-	-	-	-	-	-	-	-	-	-	-		-	-	-	-	-
Sub-Total (A)(1) (2) Foreign		-	-	-	-	-	-	•	-	-	-	-	-	-	-	-	<del>-</del>	<u> </u>
Individuals (Non-Resident  (a) Individuals/ Foreign Individuals)			_		_		_									1		
(b) Government		+ -	-	-	-	-	-	-		<del>                                     </del>	-		-	<del>                                     </del>	<del>-</del>	<del>                                     </del>	<del>-</del>	-
(c) Institutions		-	-	-	-		-		-		-	-	-	-	-	-		-
(1) 5 : 0 : 15 : 1																		
(d) Foreign Portfolio Investor (e) Any Other (specify)		-	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-
(i) Bodies Corporate		- 2	267,089,913	-	-	267,089,913		267,089,913	<del></del>	267,089,913	75.00		75.00		-	-	_	267,089,913
Siemens Aktiengesellschaft, i Germany		1	255,351,805	-	-	255,351,805		255,351,805	-	255,351,805	71.70		71.70		-	-	-	255,351,805
Siemens Metals Technologies ii Vermogensverwaltungs Gmbh		1	11,738,108	-	-	11,738,108		11,738,108	-	11,738,108	3.30		3.30		-	-	-	11,738,108
Sub-Total (A)(2)		2	267,089,913	-	-	267,089,913	75.00	267,089,913	-	267,089,913	75.00	-	75.00	-	-	-	-	267,089,913
Total Shareholding of Promoter and Promoter Group (A)= $(A)(1)+(A)(2)$		2	267,089,913	_		267,089,913	75.00	267,089,913	-	267,089,913	75.00	-	75.00	_	-	-	_	267,089,913

3

Table III - Statement showing shareholding pattern of the Public shareholder

Column   C	Ca	Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Vo	iting Rights	held in each class of sec	curities	No. of Shares Underlying Outstanding convertible securities (including Warrants)  Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)		e Number of Locked in shares		Number of Si pledged o otherwis encumber	held in dematerialized
Market   M		(1)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	(A+B+C2)					(X)					(XIV)
Section   Sect									(VIII)										
No. of Victory Region												(IX)				(	XII)	(XIII)	
10																	As a % of	No (not	tal
Class X   Class Y   Total   Col.										No o	of Voting R	lights					Shares	applicabl held	(Not
1										Class X	Class Y	Total				(a)	(b)	(a)	b)
1																			
1   102   Transe Company (miled   1)   6,550,017   1.83   6,550,017   1.83   6,550,017   1.83   1.																		NA	
10   Marchisenterinal funds											-						-		11,184,190 6,520,017
	(b) Vent	ture Capital Funds								-	-					_	-		-
Transfer				-	-	-	-	-	-	-	-	-	-		-	-	-		-
Financial institution/ Banks						-	-			- 17 //66 173	-	- 17 //66 173			- 4.00	-	-		17,464,673
Control   Cont	(e) Torci	igiti ortiolio investors		340	17,400,173	-	-	17,400,173	4.70	17,400,173	-	17,400,173	4.70	-	4.70	-	<del>                                     </del>	INA	17,404,073
Itel Imparance Componition of India	``					-	-				-			•			-		114,407
(p) Provident Funds/ Persion Funds											-						-		21,593,454 17,798,877
Sub-Total (B(1)				<del>                                     </del>	-	-	-			-	-	-			-	-	-	NA	-
Central Government/State Government/St	(i) Any (					-				-	-	-			- 1415	-	-		
Sub-Total (B)(2)		tral Government/ State Government(s)/		4//		-	-				-			-			<del>                                     </del>		50,356,724
30   Non-institutions	(2) Presid	0 1 = 1 1/01/01		1		-	-							-			-		150
(a) Individuals - 113,427 33,172,572 - 33,172,572 9,31 - 9,31 - 9,31 - NA	(3) Non-			<del>                                     </del>	150	-	-	150	0.00	150	<u> </u>	150	0.00	-	0.00	<del>'                                     </del>	<del>                                     </del>		150
capital up to Rs. 2 lakhs. 113,423 32,585,266 - 32,585,266 9,15 - NA    ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. 11 10,263 - S87,306	` '			113,427	33,172,572	-	-	33,172,572	9.31	33,172,572	-	33,172,572	9.31	-	9.31	-	-	NA	29,768,059
Capital in excess of Rs. 2 lakhs.				113,423	32,585,266	-	-	32,585,266	9.15	32,585,266	-	32,585,266	9.15	-	-	-	-	NA	29,180,753
(b) NBFCs registered with RBI 11 10,263 - 10,263 0.00 10,263 - 10,263 0.00 - 0.00 - NA (  (c) Employee Trusts NA (  Overseas Depositories (holding DRs) (balancing figure) NA (  (d) Any Other (specify) 1,376 5,462,473 - 5,462,473 1.53 5,462,473 - 5,462,473 1.53 NA (  i Trusts 1,376 3,376,643 3,376,643 0.11 3,376,643 0.11 0,11 NA (  ii Bodies Corporate 869 4,374,405 4,374,405 1.23 4,374,405 1.23 NA (  in Bodies Corporate 1,000				A	587 2 <b>0</b> A	_	_	587 204	0.16	5.87 20.4		587 204	N 1A	_	0.14	-		NΔ	587,306
Overseas Depositories (holding DRs) (balancing figure)	(b) NBFC	Cs registered with RBI		11		-	-				-			-					10,263
Overseas Depositories (holding DRs) (balancing figure)	(c) Fmnl	oloyee Trusts		_	_	_	_	_	.	_		_	_	_	_			NA	_
(e)     Any Other (specify)     1,376     5,462,473     -     -     5,462,473     1.53     -     5,462,473     1.53     -     NA       i     Trusts     35     376,643     -     -     376,643     0.11     376,643     0.11     -     0.11     -     NA       ii     Bodies Corporate     869     4,374,405     -     -     4,374,405     1.23     4,374,405     -     4,374,405     1.23     -     NA	Overs	rseas Depositories (holding DRs) (balancing															1		
i Trusts     35     376,643     -     -     376,643     0.11     376,643     0.11     -     0.11     -     NA       ii Bodies Corporate     869     4,374,405     -     -     4,374,405     1.23     4,374,405     -     4,374,405     1.23     -     NA				1 276	- 5 462 472	-	-	5 462 472	- 1 53	- 5 462 472	-	- 5 462 473	- 1 52	-	1 52	-	-		5,342,491
	i Trust	its		35	376,643			376,643	0.11	376,643		376,643	0.11						376,643
						-	-				-			-			-		4,254,423
	iii Direc	ctors(wtd s/And Other Directors)		4	26,000	-	-	26,000	0.01	26,000	-	26,000	0.01	-	0.01	+ -	<del>                                     </del>	INA	26,000
iv         Clearing Member         468         685,425         -         -         685,425         -         NA	iv Clear	ring Member		468	685,425	-	-	685,425	0.19	685,425	-	685,425	0.19	-	0.19	-	-	NA	685,425
Sub-Total (B)(3)         114,814         38,645,308         -         -         38,645,308         10.85         38,645,308         10.85         -         NA           Total Public Shareholding         115,292         89,030,342         -         -         89,030,342         -         89,030,342         -         89,030,342         -         NA	Total Public					-	-				-			-			-		35,120,813 85,477,687
110/272 07/000/042 07/000/042 - 07/000/0	otar r ubilc	, shareholding		113,292	07,030,342		-	07,030,342	25.00	07,030,342		07,030,342	20.00		23.00			INA	00,411,001
Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc -					areholders, outstanding shar	res held in demat/unclaimed	suspense account, voting rig	ghts which are frozen etc -							<u> </u>	-			
No. of Shareholders - 1,544 No. of Shares - 253,504	vo. oi snafel	enoluei 5 - 1,544 No	J. UI SHALES - 253,50	U <del>1</del>	<u> </u>														

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders	PAN	No. of sharehol der	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of		hts held in ea urities	ach class of	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	1	er of Locked in shares		Shares pledged or see encumbered	Number of equity shares held in dematerialized form
(1)	(11)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	No of Voting Rights Total as a %		al as a % of tal Voting rights	(X)	(XI) = (VII)+(X) as a % of A+B+C2	f (XII)  No. As a % of tota  Shares held		(XIII) I No. As a % of total shares held		(XIV)	
								Class X C	ass Y Tot	tal				(a)	(b)	(a)	(b)	
(1) Custodian/DR Holder (a) Name of DR Holder (if available)		-	-	-	-	-	-	-	-	-	-	-	-	-	-		NA	-
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		-	-	-	-	-	-	-	-	-	-	-	-	-	-		NA	-
Total Non-Promoter- Non Public Shareholding (C)= $(C)(1)+(C)(2)$		-	-	-	-	-	-	-	-	-	-	-	-	-	-		NA	-