

Siemens Ltd., RC-IN L-CSEC, 130, Pandurang Budhkar Marg, Worli,  
400018 Mumbai, India

National Stock Exchange of India Ltd.  
BSE Ltd.

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## Scrip Code-

National Stock Exchange of India Ltd.: SIEMENS EQ  
BSE Ltd.: 500550

## Statement showing holding of securities and shareholding pattern pursuant to Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sirs,

Pursuant to Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the statement showing holding of securities and shareholding pattern for the quarter ended 31<sup>st</sup> December, 2015.

Kindly take the above information on record and acknowledge the receipt.

Yours faithfully,  
For **Siemens Limited**

  
**Ketan Thaker**  
Company Secretary



Siemens Ltd.  
CIN: L28920MH1957PLC010839

130, Pandurang Budhkar Marg, Worli  
400018 Mumbai  
India

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Website: [www.siemens.co.in](http://www.siemens.co.in)  
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Registered Office: 130, Pandurang Budhkar Marg, Worli, Mumbai 400 018. Telephone +91 22 3967 7000. Fax +91 22 3967 7500.

Sales Offices: Ahmedabad, Bangalore, Chandigarh, Chennai, Coimbatore, Hyderabad, Kharghar, Kolkata, Luchnow, Mumbai, Nagpur, New Delhi, Pune, Vadodara.

## Format of holding of specified securities

- 1 Name of Listed Entity : **SIEMENS LIMITED**
- 2 Scrip Code/Name of Scrip/Class of Security : **BSE.: 500550 / NSE.: SIEMENS EQ**
- 3 Share Holding Pattern Filed under: ~~Reg. 31(1)(a)~~/ Reg. 31(1)(b) / ~~Reg. 31(1)(c)~~
- a. If under 31(1)(b) then indicate the report for Quarter ending : **31<sup>st</sup> December, 2015**
- b. If under 31(1)(c) then indicate date of allotment/extinguishment **Not Applicable**
- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								(IX)						(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)			(XIII)	
								No of Voting Rights			Total as a % of (A+B+C)					No.	As a % of total Shares held		No.	As a % of total Shares held
								Class eg: X	Class eg: y	Total										
(A)	Promoter & Promoter Group	2	267089913	0	0	267089913	75.00	267089913	0	267089913	75.00	0	75.00	0	0.00	0	0.00	267089913		
(B)	Public	119291	89024808	0	0	89024808	25.00	89024808	0	89024808	25.00	0	25.00	0	0.00	NA		85136208		
(C)	Non Promoter- Non Public (*)	1	5534	0	0	5534	0.00	5534	0	5534	0.00	0	0.00	0	0.00	NA		5534		
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0		
(C2)	Shares held by Employee Trusts	1	5534	0	0	5534	0.00	5534	0	5534	0.00	0	0.00	0	0.00	NA		5534		
	Total	119294	356120255	0	0	356120255	100	356120255	0	356120255	100	0	100.00	0	0.00	0	0.00	352231655		

(\*) - Prior to issuance of SEBI Circular CIR/CFD/DIL/3/2013 dated 17th January, 2013 and SEBI (Share Based Employee Benefits) Regulations, 2014, the Company had launched the Share Matching Plan (SMP) Scheme of 2010, 2011 and 2012 having validity of 3 years each. These Schemes have since matured and no further shares have been purchased by the Trust/trustees thereafter. The aforesaid shareholding under C2 represents the shares held for and on behalf of the SMP Trust for the respective Participants under the framework of the abovementioned SMP Schemes. Since each SMP Scheme has matured, the SMP Trust is taking all necessary steps to transfer/otherwise dispose of the aforesaid shareholding as per the requirements of the Scheme or otherwise. The Company does not have any other SMP Scheme in operation.

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form										
																			(IX)		(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)			
									(I)	(II)	(III)			(IV)	(V)	(VI)	(VII = IV+V+VI)		As a % of (A+B+C2) (VIII)	No of Voting Rights			Total as a % of Total Voting rights	(a)	As a % of total Shares held	(a)	As a % of total shares held	(XIV)
																				Class X	Class Y	Total						
(1)	Indian																											
(a)	Individuals/Hindu undivided Family		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00										
(b)	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00										
(c)	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00										
(d)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00										
(f)	Bodies Corporate		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00										
	Sub-Total (A)(1)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00										
(2)	Foreign																											
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00										
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00										
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00										
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00										
(e)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00										
(i)	Bodies Corporate		2	267089913	0	0	267089913	75.00	267089913	0	267089913	75.00	0	0.00	0	0.00	0	0.00										
i	Siemens Aktiengesellschaft, Germany		1	255351805	0	0	255351805	71.70	255351805	0	255351805	71.70	0	0.00	0	0.00	0	0.00										
ii	Siemens Vai Metals Technologies GmbH, Austria(*)		1	11738108	0	0	11738108	3.30	11738108	0	11738108	3.30	0	0.00	0	0.00	0	0.00										
	Sub-Total (A)(2)		2	267089913	0	0	267089913	75.00	267089913	0	267089913	75.00	0	0.00	0	0.00	0	0.00										
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		2	267089913	0	0	267089913	75.00	267089913	0	267089913	75.00	0	0.00	0	0.00	0	0.00										

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc - Not Applicable

(\*) - The name of Siemens VAI Metals Technologies GmbH, Austria is changed to Siemens Metals Technologies Vermögensverwaltungs GmbH, Austria since 31<sup>st</sup> December, 2015

Table III - Statement showing shareholding pattern of the Public shareholder

(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 (VIII)	(IX)			(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)
								No of Voting Rights		Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (not applicable)	As a % of total shares held (Not applicable)	
								Class X	Class Y								
(1) Institutions																	
(a) Mutual Funds/UTI		97	11071877	0	0	11071877	3.11	11071877	0	11071877	3.11	0	3.11			NA	11068377
i Hdfc Trustee Company Limited-Hdfc Equity Fund		12	5488054	0	0	5488054	1.54	5488054	0	5488054	1.54	0	0.00	0.00	0.00	NA	5488054
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0.00	0.00	NA	0
(c) Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0.00	0.00	NA	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0.00	0.00	NA	0
(e) Foreign Portfolio Investors		305	17512581	0	0	17512581	4.92	17512581	0	17512581	4.92	0	4.92	0	0.00	NA	17511081
(f) Financial Institutions/ Banks		25	132760	0	0	132760	0.04	132760	0	132760	0.04	0	0.04	0	0.00	NA	112100
(g) Insurance Companies		32	20973605	0	0	20973605	5.89	20973605	0	20973605	5.89	0	5.89	0	0.00	NA	20971605
i Life Insurance Corporation Of India		21	16788973	0	0	16788973	4.71	16788973	0	16788973	4.71	0	4.71	0	0.00	NA	16788973
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(i) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
Sub-Total (B)(1)		459	49690823	0	0	49690823	13.95	49690823	0	49690823	13.95	0	13.95	0	0	NA	49663163
(2) Central Government/ State Government(s)/ President of India		1	250	0	0	250	0.00	250	0	250	0.00	0	0.00	0	0.00	NA	250
Sub-Total (B)(2)		1	250	0	0	250	0.00	250	0	250	0.00	0	0.00	0	0	NA	250
(3) Non-institutions																	
(a) Individuals -		117333	34839463	0	0	34839463	9.78	34839463	0	34839463	9.78	0	9.78	0	0.00	NA	31098015
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		117329	34298223	0	0	34298223	9.63	34298223	0	34298223	9.63	0	0	0.00	0.00	NA	30556775
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		4	541240	0	0	541240	0.15	541240	0	541240	0.15	0	0.15	0	0.00	NA	541240
(b) NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(c) Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(d) Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(e) Any Other (specify)		1498	4494272	0	0	4494272	1.26	4494272	0	4494272	1.26	0	1.26	0	0	NA	4374780
i Trusts		16	187257	0	0	187257	0.05	187257	0	187257	0.05	0	0.05	0	0.00	NA	187257
ii Bodies Corporate		1066	3768673	0	0	3768673	1.06	3768673	0	3768673	1.06	0	1.06	0	0.00	NA	3649181
iii Unclaimed Suspense Account		1	255819	0	0	255819	0.07	255819	0	255819	0.07	0	0.07	0	0.00	NA	255819
iv Directors(Wtd's/And Other Directors)		5	26000	0	0	26000	0.01	26000	0	26000	0.01	0	0.01	0	0.00	NA	26000
v Limited Liability Partnership		16	26561	0	0	26561	0.01	26561	0	26561	0.01	0	0.01	0	0.00	NA	26561
vi Clearing Member		394	229962	0	0	229962	0.06	229962	0	229962	0.06	0	0.06	0	0.00	NA	229962
Sub-Total (B)(3)		118831	39333735	0	0	39333735	11.05	39333735	0	39333735	11.05	0	11.05	0	0.00	NA	35472795
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		119291	89024808	0	0	89024808	25.00	89024808	0	89024808	25.00	0	25.00	0	0.00	NA	85136208

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %) - Not Applicable

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.  
No. of shareholders - 1555 No. of Shares - 255819

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
									(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		(XIV) (Not Applicable)
									No of Voting Rights		Total as a % of Total Voting rights					No.	As a % of total Shares held		No. (not appli-cable)	As a % of total shares held (Not applicable)	
									Class X	Class Y											
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0			
(a)	Name of DR Holder (if available)																NA				
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) (*)		1	5534	0	0	5534	0.00	5534	0	5534	0.00	0	0.00	0	0.00	NA	5534			
			1	5534	0	0	5534	0.00	5534	0	5534	0.00	0	0.00	0	0.00	NA	5534			

(\*) - Prior to issuance of SEBI Circular CIR/CFD/DIL/3/2013 dated 17th January, 2013 and SEBI (Share Based Employee Benefits) Regulations, 2014, the Company had launched the Share Matching Plan (SMP) Scheme of 2010, 2011 and 2012 having validity of 3 years each. These Schemes have since matured and no further shares have been purchased by the Trust/trustees thereafter. The aforesaid shareholding under 2 represents the shares held for and on behalf of the SMP Trust for the respective Participants under the framework of the abovementioned SMP Schemes. Since each SMP Scheme has matured, the SMP Trust is taking all necessary steps to transfer/otherwise dispose of the aforesaid shareholding as per the requirements of the Scheme or otherwise. The Company does not have any other SMP Scheme in operation.