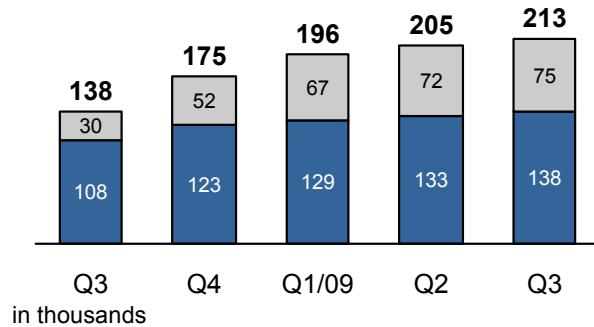


Compliance – Progress Report Q3 FY 2009

Prevent

Training ¹⁾

- Participation in person training
- Participation web-based training

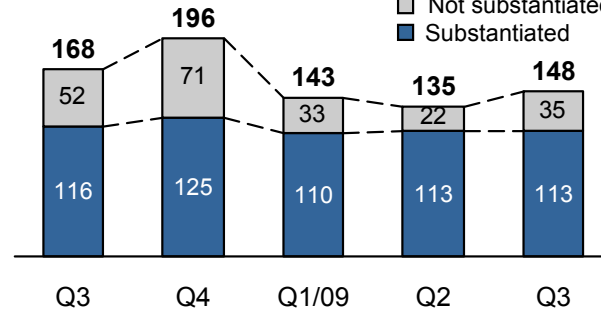


Detect

Helpdesk

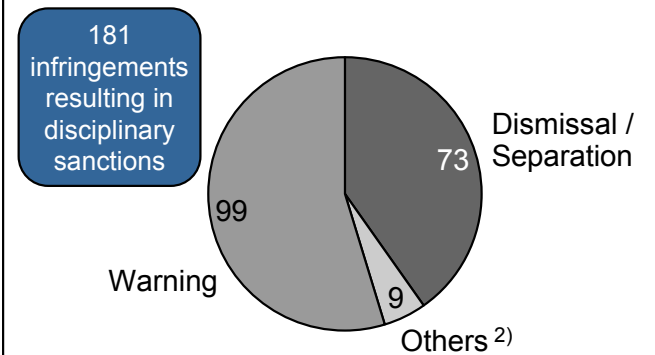
"Tell Us" & Ombudsman

- Not substantiated
- Substantiated

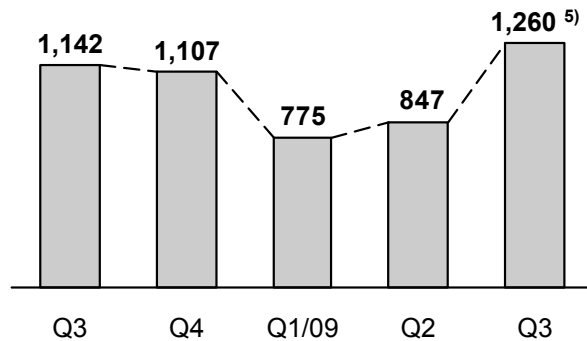


Respond

Disciplinary Sanctions in Q3

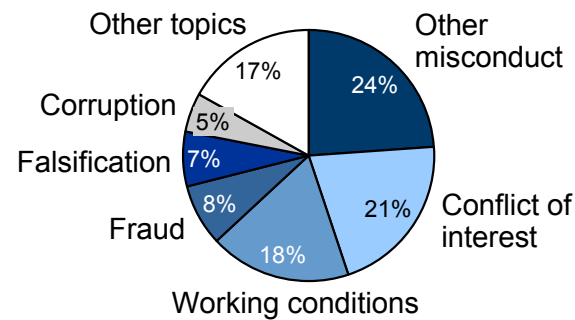


Helpdesk "Ask Us"

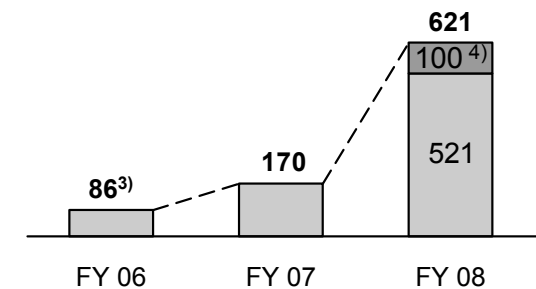


Helpdesk

Q3 topics "Tell Us"



Compliance Staff Worldwide



1) Cumulative 2) Forfeiture of variable payment elements, transfer to another position, suspension 3) Compliance only one area of responsibility
4) Including Implementation Management 5) Rollout of the enhanced Compliance Control Framework