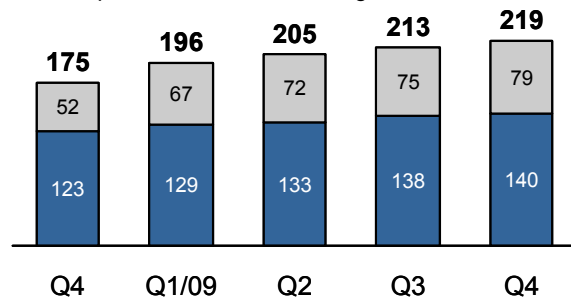


# Compliance – Progress Report FY 2009

## Prevent

### Training

- Participation in person training
- Participation web-based training



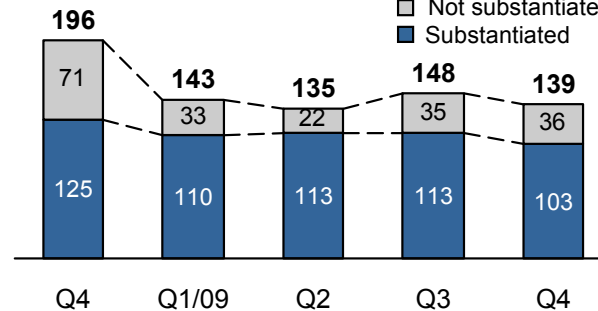
in thousands, cumulative

## Detect

### Helpdesk

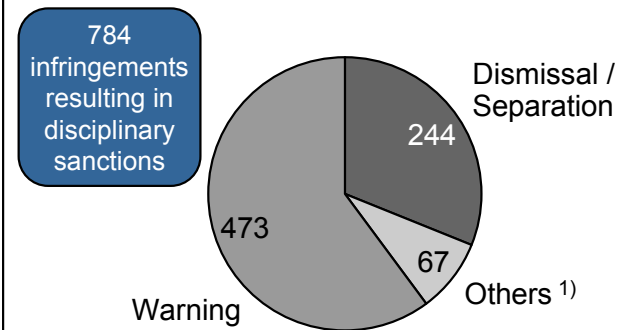
#### "Tell Us" & Ombudsman

- Not substantiated
- Substantiated



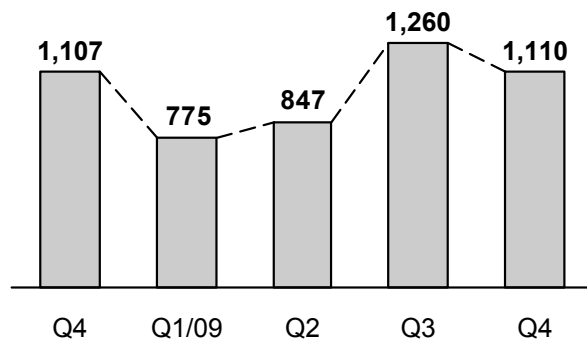
## Respond

### Disciplinary Sanctions in FY 2009



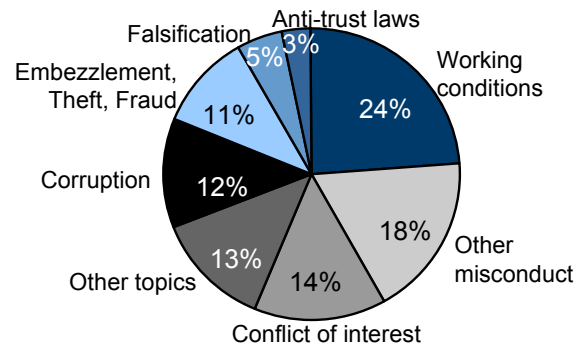
### Helpdesk

#### "Ask Us"

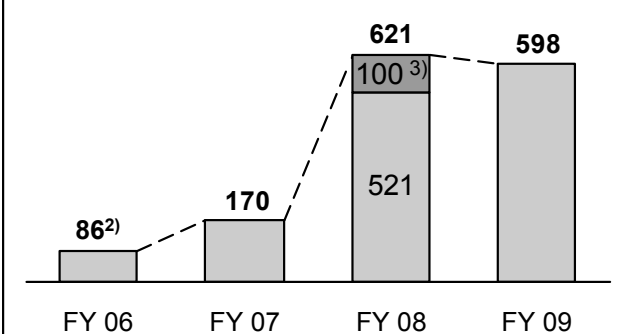


### Helpdesk

#### "Tell Us" Topics FY 2009



### Compliance Staff Worldwide



1) Forfeiture of variable payment elements, transfer to another position, suspension 2) Compliance only one area of responsibility 3) Including Implementation Management